

Caldwell Balanced Fund						
Meeting Date	Name of Issuer	Stock Symbol	Matters Voted On	Party Proposed	Management Vote	Our Vote
14/05/19	3M Co.	MMM UN	Elect 12 directors Ratify appointments of PricewaterhouseCoopers LLP Approve compensation of named executives stockholder proposal	Management	For for election of director, ratification, and advisory vote; against for stockholder proposal	Did not vote
28/02/19	AmerisourceBergen Corp.	ABC UN	Elect 9 directors Ratify the appointment of Ernst & Young LLP Conduct advisory vote to approve executive compensation Vote on stockholder proposals	Management	For for election of director, ratification, and advisory vote; against for stockholder proposal	Did not vote
17/05/19	ANSYS Inc.	ANSS UW	Elect 2 Class II directors Ratify the appointment of Deloitte LLP Hold advisory vote on executive compensation	Management	For	Did not vote
06/03/19	Berry Global Group Inc.	BERY UN	Elect 7 board of directors Approve, on non-binding basis, executive compensation Vote on whether the advisory vote on executive compensation should occur every one, two, or three years Approve amendment to enable stockholder with 25% outstanding common stocks to call special stockholding meetings	Management	For for election of director, ratification, and advisory vote; against for stockholder proposal	Did not vote

			Share capital reduction for shares acquired under our share repurchase program		For	
			Approval of any adjournments or postponements of the meeting		For	
29/05/19	The Middleby Corp	MIDD UW	Election of 6 Directors	Management	For	Did not vote
			Appoint Ernst & Young LLP as auditor		For	
			Approve executive compensation		For	
			Act on stockholder proposal regarding ESG		Against	
26/06/19	Tricon Capital Group Inc	TCN CT	Election of Directors	Management	For	Did not vote
			Appoint auditor of company and authorize Board of Directors to fix remuneration		For	
			Amend and restate Company's shareholder rights plan		For	
10/12/18	Tyson Foods Inc.	TSN UN	Election of Directors	Management	For	Did not vote
			Ratification of the appointment of our independent registered public accounting firm		For	
			Shareholder Proposals		Against	
03/06/19	UnitedHealth Group Inc.	UNH UN	Election of 11 Directors		For	Did not vote
			Approve executive compensation		For	
			Ratify the appointment of Deloitte & Touche LLP as independent registered public accounting firm		For	
			Act on the shareholder proposals		Against	
31/01/19	Amdocs Ltd		Elect 10 members directors	Management		Did not vote
			Approve increase in dividend rate			
			Ratify appointment of Ernst & Young LLP as independent public accounting firm			
10/12/18	CGI Inc.	GIB/A CT	Appoint Auditors	Management	For for election of director, ratification, and advisory vote; against for stockholder proposal	Did not vote

			Elect Directors Consider to approve amendment to change its name from CGI Groups inc to Group CGI Inc or CGI Inc			
12/12/18	Cisco Systems Inc.	CSCO UW	Elect board of directors Approve Employee Stock Purchase Plan Conduct advisory vote to approve executive compensation Ratify the appointment of Pricewaterhouse Coopers LLP Vote on 2 stockholder proposal	Management	For	for election of director, i Did not vote
04/06/19	Cognizant Technology Soluti	CTSH UW	Elect Directors Ratify the appointment of Pricewaterhouse Coopers LLP Conduct advisory vote to approve executive compensation Vote on stockholder proposal to disclose its political spending and requesting the chairman as an independent director on company's governing document	Management	For	for election of director, Did not vote ratification, and advisory vote; against for stockholder proposal
25/04/19	Delphi Technologies PLC	DLPH UN	Elect 10 Directors Ratify the appointment of Ernst & Young LLP Conduct advisory vote to approve executive compensation	Management	For	Did not vote
03/05/19	Enerflex Ltd	EFX CT	Elect directors Appoint auditors Consider advisory solution on executive compensation Approve special resolution to increase company's maximum number of directors from 9 to 12 Approve an ordinary resolution to confirm the amendment to the company's advance notice provision	Management	For	Did not vote
30/05/19	IPG Photonics Corp.	IPGP UW	Elect directors	Management	For	Did not vote

			Ratify the appointment of Deloitte LLP Approve 2008 Employee stock purchase plan			
21/03/19	Keysight Technologies Inc.	KEYS UN	Elect directors Ratify the appointment of Pricewaterhouse Coopers LLP Conduct advisory vote to approve executive compensation	Management	For	Did not vote
28/01/19	KKR & Co Inc.	KKR UN	Approve Equity Incentive Plan	Management	For	Did not vote
23/05/19	LCI Industries	LCII UN	Elect directors Conduct advisory vote to approve executive compensation Ratify the appointment of KPMG LLP	Management	For	Did not vote
14/11/18	Oracle Corp.	ORCL UN	Elect directors Conduct advisory vote to approve executive compensation Ratify the appointment of Ernst & Young LLP stockholder proposal	Management	For; against only for the stockholder proposal	Did not vote
22/03/19	Parkland Fuel Corp.	PKI CT	Elect directors Elect auditors Conduct advisory vote to approve executive compensation	Management	For	Did not vote
10/05/19	Premium Brands Holdings C	PBH CT	Fix the number of directors Elect directors Appoint Auditors Conduct advisory vote to approve executive compensation	Management	For	Did not vote
15/03/19	Quest Diagnostics Inc.	DGX UN	Elect directors Conduct advisory vote to approve executive compensation Ratify the appointment of independent registered public accounting firm Approve an amendment to the Employee Long-term Incentive plan	Management	For	Did not vote

09/05/19 S&P Global Inc.	SPGI UN	Elect directors Conduct advisory vote to approve executive compensation Approval of Stock Incentive Plan Approval of the Company's director deferred stock ownership place Ratify the appointment of Ernst & Young LLP	Management	For	Did not vote
02/05/19 Suncor Energy Inc.	SU CT	Elect directors Ratify the appointment of KPMG LLP Conduct advisory vote to approve executive compensation	Management	For	Did not vote
24/04/19 ShawCor Ltd	SCL CT	Fix number of directors at five Elect directors Re-appoint auditors and authorize the directors to fix remuneration Approve ratification of the company's 10% rolling Stock Option Plan	Management	For	Did not vote