



Caldwell

Caldwell Canadian Balanced Fund

Meeting Date	Name of Issuer	Stock Symbol	Ballot Items	Party Proposed	Management Vote	Caldwell Vote
31-Jan-20	Amdocs Limited	DOX	Election of Directors (10 nominees)	Management	For	For
			Approve the amendment of the Amdocs Limited 1998 Stock Option and Incentive Plan increasing the number of shares authorized for issuance under the plan and the sublimit on "full value" awards under the plan, in each case, by 3,000,000 shares	Management	For	For
			Increase in the dividend rate under the quarterly cash dividend program from \$0.285/ share to \$0.3275/share	Management	For	For
			Approve the Consolidated Financial Statements for the fiscal year ended Sept-30-2019	Management	For	For
			Appointment of Ernst & Young LLP as independent registered public accounting firm for the fiscal year and until the next annual general meeting, authorize the Audit Committee of the Board of Directors to fix the remuneration of such independent registered public accounting firm	Management	For	For
6-Feb-20	Tyson Foods	TSN	Election of Directors (12 nominees)	Management	For	For
			Ratify the selection of PricewaterhouseCoopers LLP as independent registered public accounting firm for fiscal year ending Oct 03, 2020	Management	For	For
			Approve on a non-binding advisory basis, the compensation of the Company's named executive officers	Management	For	For
			Shareholder proposal to request a report regarding the Company's efforts to eliminate deforestation from its supply chains	Shareholder	Against	Against
			Shareholder proposal to request a report disclosing the policy and procedures, expenditures, and other activities related to lobbying and grassroots lobbying communications	Shareholder	Against	Against
Shareholder proposal to require the preparation of a report on the Company's due diligence process assessing and mitigating human rights impacts	Shareholder	Against	Against			
Shareholder proposal to request the adoption of a policy requiring senior executive officers to retain a percentage of shares received through equity compensation programs	Shareholder	Against	Against			
13-May-20	Shawcor	SCL	Election of Directors (10 nominees)	Management	For	For
			On the appointment of Ernst & Young LLP as auditor of the Company and authorizing the directors to fix the auditor's remuneration.	Management	For	For
			On the approval of the advisory resolution with respect to the Company's approach to executive compensation.	Management	For	For
7-May-20	Parkland Fuel Corp	PKI	Election of Directors (9 nominees)	Management	For	For
			Reappoint Pricewaterhousecooper LLP as auditor	Management	For	For
			Approve restated shareholder rights plan	Management	For	For
			Approve unallocated options under amended and restated stock option plan	Management	For	For
			Approve restricted share unit plan	Management	For	For
			Approve unallocated restricted share units under restricted share unit plan	Management	For	For
			Shareholders will be asked to consider and, if determined appropriate, approve a special resolution to authorize an amendment to the Articles of Parkland to change name to "Parkland Corporation"	Management	For	For
Approve non binding "say on pay" advisory vote on executive compensation	Management	For	For			
12-May-20	Bird Construction	BDT	Election of Directors (10 nominees)	Management	For	For
			To appoint KPMG LLP as Auditors of the Corporation for the ensuing year and authorize the Directors to fix their remuneration	Management	For	For
			Election of Directors (9 nominees)	Management	For	For



Caldwell

Caldwell Canadian Balanced Fund

Meeting Date	Name of Issuer	Stock Symbol	Ballot Items	Party Proposed	Management Vote	Caldwell Vote
12-May-20	Element Fleet Management	EFN	The re-appointment of Ernst & Young LLP, as auditors of the Corporation, for the ensuing year and authorizing the board of directors to fix their remuneration.	Management	For	For
			To consider and, if thought advisable, to approve, a non-binding advisory resolution on the Corporation's approach to executive compensation as set out in the Corporation's management information circular delivered in advance of its 2020 Annual Meeting.	Management	For	For
19-May-20	Quest Diagnostics	DGX	Election of Directors (10 nominees)	Management	For	For
			An advisory resolution to approve the executive officer compensation disclosed in the Company's 2020 proxy statement	Management	For	For
			Ratification of the appointment of our independent registered public accounting firm for 2020	Management	For	For
13-May-20	S&P Global	SPGI	Election of Directors (12 nominees)	Management	For	For
			Approve, on an advisory basis, the executive compensation program for the Company's named executive officers.	Management	For	For
			Approve an amendment to the Company's Certificate of Incorporation to permit removal of a Director with or without cause.	Management	For	For
			Ratify the selection of Ernst & Young LLP as our independent auditor for 2020.	Management	For	For
15-May-20	Ansys	ANSS	Election of Class III Directors for three-year term (3 nominees)	Management	For	For
			The ratification of the selection of Deloitte & Touche LLP as the Company's independent registered public accounting firm for fiscal year 2020.	Management	For	For
			The advisory vote to approve compensation of our named executive officers.	Management	For	For
22-Apr-20	Johnson and Johnson	JNJ	Election of Directors (13 nominees)	Management	For	No Vote
			Advisory Vote to Approve Named Executive Officer Compensation.	Management	For	No Vote
			Ratification of Appointment of PricewaterhouseCoopers LLP as the Independent Registered Public Accounting Firm for 2020.	Management	For	No Vote
			Amendment to the Restated Certificate of Incorporation to Permit Removal of Directors Without Cause.	Management	For	No Vote
			Independent Board Chair	Shareholders	Against	No Vote
Report on Governance of Opioids-Related Risks	Shareholders	Against	No Vote			
28-May-20	IPG Photonics	IPGP	Election of Directors (9 nominees)	Management	For	For
			Advisory approval of our executive compensation	Management	For	For
			Ratification of the appointment of Deloitte & Touche LLP as our independent registered public accounting firm for 2020	Management	For	For
			A stockholder proposal to prepare a report on management team diversity, if properly presented at the annual meeting	Shareholders	Against	Against
8-Jun-20	Middleby Corporation	MIDD	Election of Directors (7 nominees)	Management	For	For
			Ratification of the selection of Ernst & Young LLP as the Company's independent public accountants for the current fiscal year ending January 2, 2021	Management	For	For
			Approval, by an advisory vote, of the 2019 compensation of the Company's named executive officers, as disclosed pursuant to the compensation disclosure rules of the Securities and Exchange Commission ("SEC")	Management	For	For