



Caldwell

Caldwell Canadian Value Momentum Fund

Meeting Date	Name of Issuer	Stock Symbol	Matters Voted On	Party Proposed	Management Vote	Caldwell Vote
27-Aug-20	Champion Iron	CIA	Election of Directors (8)	MGMT	For	N/A
			Remuneration Report	MGMT	For	N/A
			Approval of annual non-executive directors' remuneration	MGMT	For	N/A
13-Aug-20	ATS Automation	ATA	Elect Directors (7 members)	MGMT	For	N/A
			Appoint auditors	MGMT	For	N/A
			A resolution authorizing an amendment to the Corporation's articles of incorporation to change its name	MGMT	For	N/A
10-Sep-20	Major Drilling Group International	MDI	Election of Directors (10)	MGMT	For	N/A
			Appointment of Independent Auditor and set remuneration	MGMT	For	N/A
			Advisory vote on Executive Compensation	MGMT	For	N/A
14-Sep-20	Open Text Corp.	OTEX	Elect Directors (11 members)	MGMT	For	N/A
			Appoint auditors and fix remuneration	MGMT	For	N/A
			Advisory vote on Executive Compensation	MGMT	For	N/A
			Amendment of 2004 Employee Stock Purchase Plan	MGMT	For	N/A
			Amendment to the Company's 2004 Stock Option Plan	MGMT	For	N/A
16-Sep-20	Alimentation Couche-Tard	ATD	Appoint the auditor until the next annual meeting and authorize the Board of Directors to set their remuneration	MGMT	For	N/A
			Elect Directors (13 members)	MGMT	For	N/A
			Voting on our approach to executive compensation	MGMT	For	N/A
			Shareholder proposal No.1 environmental, social and governance criteria	Shareholder	Against	N/A
			Shareholder proposal No.2 Independence of directors	Shareholder	Against	N/A
Shareholder proposal No.3 Responsible employment policy	Shareholder	Against	N/A			
19-Jan-21	Norbord	OSB	The special resolution of shareholders, the full text of which is set forth in Appendix C to the management proxy circular of the Company dated December 15, 2020 (the "Norbord Circular"), to approve the arrangement involving the Company under Section 192 of the Canada Business Corporations Act pursuant to which West Fraser Timber Co. Ltd. will, among other things, acquire all of the issued and outstanding common shares of the Company, all as more particularly described in the Norbord Circular.	MGMT	For	For
19-Jan-21	West Fraser Timber	WFT	The Share Issuance Resolution: to authorize the issuance by the Company of such number of common shares in the capital of the Company ("West Fraser Shares") as is necessary to acquire 100% of the issued and outstanding common shares in the capital of Norbord Inc. ("Norbord"), pursuant to the arrangement agreement dated November 18, 2020 between the Company and Norbord, as more fully described in the accompanying management information circular, and such Share Issuance Resolution being in the form attached as Appendix A thereto.	MGMT	For	For
			The Stock Option Plan Amendment Resolution: to amend the Company's stock option plan (the "Stock Option Plan") to increase the number of West Fraser Shares that may be allotted for issuance pursuant to the exercise of options under the Stock Option Plan by 1,000,000 West Fraser Shares, such amendment to the Stock Option Plan being described in, and such Stock Option Plan Amendment Resolution being in the form attached as Appendix B to, the accompanying management information circular.	MGMT	For	For
04-Feb-21	Real Matters	REAL	Elect Directors (9 members)	MGMT	For	For
			Appoint Auditor	MGMT	For	For

31-Mar-21	ARC Resources	ARX	Share Issuance Resolution	MGMT	For	N/A
12-May-21	Tamarack Valley Energy	TVE	Elect Directors (8 members)	MGMT	For	For
			The appointment of KPMG LLP, Chartered Professional Accountants	MGMT	For	For
			To approve the unallocated options under the stock option plan of the Corporation	MGMT	For	For
				MGMT	For	For
27-Apr-21	TFI International	TFII	Elect Directors (11 members) Appoint Auditor	MGMT	For	For
28-Apr-21	Secure Energy Service	SES	Elect Directors (8 members) Appoint Auditor	MGMT	For	For
29-Apr-21	Canfor Corp	CFP	Set the number of Directors at twelve	MGMT	For	For
			Elect Directors (12 members)	MGMT	For	For
			Appoint Auditor	MGMT	For	For
05-May-21	Paramount Resources	POU	Elect Directors (8 members)	MGMT	For	For
			Appoint Auditor	MGMT	For	For
			To approve the unallocated options under the Option Plan.	MGMT	For	For
			To approve the amendments to the bylaws of the Corporation.	MGMT	For	For
06-May-21	AutoCanada	ACQ	Elect Directors (8 members)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
06-May-21	Interfor Corp	IFP	Elect Directors (11 members)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
			on an advisory basis, the Shareholders accept the approach to executive compensation disclosed in the Information Circular of the Company	MGMT	For	For
06-May-21	Magna International	MGA	Elect Directors (10 members)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
			An advisory basis, the Shareholders accept the approach to executive compensation	MGMT	For	For
11-May-21	Nuvista Energy	NVA	Fix the number of directors to be elected at the meeting to nine (9) members.	MGMT	For	For
			Elect Directors (9 members)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
				MGMT	For	For
17-May-21	Nutrien LTD	NTR	Elect Directors (12 members)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
			non-binding advisory resolution on executive compensation	MGMT	For	For
27-May-21	Linamar Corp	LNR	Elect Directors (6 members)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
07-Jun-21	Viemed Healthcare	VMD	Elect Directors (8)	MGMT	For	For
			Appoint Auditor and authorize directors to fix their remuneration	MGMT	For	For
			To consider and, if deemed appropriate, to approve an ordinary resolution (the text of which is disclosed in Section 10(iv) of the Management Information and Proxy Circular) approving certain amendments to the Articles of the Corporation with respect to the quorum requirement for meetings of shareholders, as more particularly described in the Management Information and Proxy Circular.	MGMT	For	For
02-Jun-21	BRP INC	DOO	Elect Directors (12 members)	MGMT	For	For
			Appointment of Deloitte LLP as Independent Auditor of the Corporation	MGMT	For	For
			Advisory non-binding resolution in respect of the Corporation's approach to executive compensation	MGMT	For	For
			Elect Directors (9 members)	MGMT	For	For
			Appointment of KPMG as Independent Auditor of the Corporation	MGMT	For	For

09-Jun-21	Stelco Holdings	STLC	To approve, with or without variation, the ordinary resolution, the full text of which is set forth in Appendix "C" of the accompanying management information circular, ratifying the adoption of the advance notice provisions for Stelco Holdings Inc., as more particularly described in the management information circular.	MGMT	For	For
15-Jun-21	Secure Energy Resources	SES	To approve, with or without variation, an ordinary resolution, the full text of which is set forth in Appendix B to the accompanying joint management information circular dated May 6, 2021, authorizing and approving the issuance of such number of common shares of SECURE as are required to be issued pursuant to a plan of arrangement under section 193 of the Business Corporations Act (Alberta) involving, among others, Tervita Corporation and SECURE, all as more particularly described in the information circular.	MGMT	For	For
22-Jun-21	ARC Resources	ARX	Elect Directors (11 members) Appoint PricewaterhouseCoopers LLP (PwC), Chartered Accountants, as auditors A resolution to approve the Corporation's Advisory Vote on Executive Compensation.	MGMT MGMT MGMT	For For For	For For For
27-May-21	Karora Resources	KRRGF	Elect Directors (6 members) Appoint Auditor and authorize directors to fix their remuneration	MGMT MGMT	For For	For For
30-Mar-21	Cargojet	CJT	Elect Directors (7 members) Appoint auditors	MGMT MGMT	For For	N/A N/A
06-May-20	Constellation Software	CSU	Election of Directors (15) Appointment of Auditors Advisory vote on Executive Compensation	MGMT MGMT MGMT	For For For	N/A N/A N/A
10-Jun-21	Karora Resources	KRR	Elect Directors (6 members) Appoint auditors and fix remuneration	MGMT MGMT	For For	For For
11-Mar-21	Enghouse Systems	ENGH	Elect Directors (7 members) Appoint auditors and fix remuneration Advisory vote on Executive Compensation	MGMT MGMT MGMT	For For For	N/A N/A N/A
06-May-21	Loblaws Companies	L	Elect Directors (8 members) Appoint auditors and fix remuneration Advisory vote on Executive Compensation Shareholder Proposal	MGMT MGMT MGMT Shareholder	For For For Against	N/A N/A N/A N/A
05-May-21	North American Construction Group	NOA	Elect Directors (8 members) Appoint auditors and fix remuneration Advisory vote on Executive Compensation Approval of Unallocated Options Under the Share Option Plan	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
19-May-21	Northland Power	NPI	Elect Directors (9 members) Increase size of Board of Directors and to permit the removal of all references to the Class A shares and Class B and C Convertible Shares Appoint auditors and fix remuneration Advisory vote on Executive Compensation	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
23-Jun-21	Converge Technology Solutions	CTS CT	Election of Directors (6) Appointment of Auditors Approval of Long Term Incentive Plan	MGMT MGMT MGMT	For For For	For For For
05-May-21	Toromont Industries	TIH	Election of Directors (9) Appointment of Auditors Shareholder Advisory Vote on Toromont's Approach to Executive Compensation Replenishment and Amendment of Stock Option Plan Renewal of Shareholder Rights Plan	MGMT MGMT MGMT MGMT MGMT	For For For For For	N/A N/A N/A N/A N/A
13-May-21	WSP Global	WSP	Election of Directors (8) Appointment of Auditors Advisory vote on Executive Compensation	MGMT MGMT MGMT	For For For	N/A N/A N/A

11-May-21	Centerra Gold	CG	Election of Directors (11) Appointment of Auditors	MGMT MGMT	For For	N/A N/A
05-May-21	Altus Group	AIF	Election of Directors (8) Appointment of Auditors and to fix remuneration Advisory vote on Executive Compensation	MGMT MGMT MGMT	For For For	N/A N/A N/A
12-May-21	Maxar Technologies	MAXR	Election of Directors (11) Advisory vote on Executive Compensation Approve amendment to 2019 Incentive Award Plan Approve amendment and restatement of Certificate of Incorporation Appoint public accountant firm	MGMT MGMT MGMT MGMT MGMT	For For For For For	N/A N/A N/A N/A N/A
06-May-21	Cascades Inc	CAS	Election of Directors (12) Appointment of Independent Auditor Corporation's approach to Executive Compensation Special Resolution to amend the Articles of Incorporation Shareholder Proposal	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
13-May-21	Airboss of America	ABSSF	Election of Directors (8) Appointment of Independent Auditor Unallocated Awards Resolution	MGMT MGMT MGMT	For For For	N/A N/A N/A
05-May-21	Badger Daylighting	BAD	Election of Directors (9) Advisory vote on Executive Compensation Appointment of Auditor By-Law No. 1 Name Change of the Corporation	MGMT MGMT MGMT MGMT MGMT	For For For For For	N/A N/A N/A N/A N/A
06-May-21	iA Financial Corp	IAG.TO	Election of Directors (13) Appointment of Auditor Advisory vote on Executive Compensation Amendment to iA Financial Corporation Inc.'s by-laws Shareholder proposal No. 3	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
12-May-21	Ag Growth International	AFN	Number of Directors Election of Directors (8) Appointment of Auditor Approval of Share Option Plan	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
26-Jan-21	Metro	MRU.TO	Election of Directors (12) Appointment of Independent Auditor Advisory vote on Executive Compensation Amendments to Corporation's By Laws	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
08-Apr-21	Richelieu Hardware	RHUHF	Election of Directors (8) Appointment of Independent Auditors	MGMT MGMT	For For	N/A N/A
06-Apr-21	First Service	FSV	Election of Directors (8) Appointment of Auditor Advisory vote on Executive Compensation Amendment to Option Plan	MGMT MGMT MGMT MGMT	For For For For	N/A N/A N/A N/A
20-Apr-21	West Fraser Timber	WFG	Election of Directors (12) Appointment of Independent Auditor Advisory vote on Executive Compensation	MGMT MGMT MGMT	For For For	N/A N/A N/A
04-May-21	Mullen Group	MTL	Number of Directors set at 6 Elect Directors (6) Appointment of Auditors	MGMT MGMT MGMT	For For For	N/A N/A N/A
31-Mar-21	Seven Generations Energy	SVRGF	7G Transaction Resolution	MGMT	For	N/A

03-May-21	Stella-Jones	SJ	Election of Directors (10)	MGMT	For	N/A
			Appointment of Independent Auditor and set remuneration	MGMT	For	N/A
			Advisory vote on Executive Compensation	MGMT	For	N/A
06-May-21	Stantec	STN	Election of Directors (9)	MGMT	For	N/A
			Advisory approval of executive compensation.	MGMT	For	N/A
			Ratify appointment of accounting firm	MGMT	For	N/A
			Amendment and Restatement of By-law No. 1	MGMT	For	N/A