

CUSDAF			2018			
Meeting Date (DD/MM/YY)	Name of Issuer	Stock Symbol	Matters Voted On	Party Proposed	Management Vote	Our vote
05-1-2018	AltaGas Ltd.	ALA	Election of Directors Appointment of Auditors Advisory Vote on Executive Compensation	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
05-03-2018	Canadian Natural Resources Ltd.	CNQ	Election of Directors Appointment of Auditors Executive Compensation	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
05-04-2018	Crescent Point Energy Corp.	CPG	Fix the Number of Directors Election of Directors Re-Appointment of the Auditors of Crescent Point Restricted Share Bonus Plan Stock Options Plan Grant of Options Executive Compensation	Management Management Management Management Management Management	For For For N/A N/A N/A	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Dream Global Real Estate Investment Trust	DRG.UN	None			
	Dream Office Real Estate Investment Trust	D.UN	None			
05-16-2018	Labrador Iron Ore Royalty Corp.	LIF	Election of Directors Appointment of Auditors Special Resolution	Management Management Shareholder**	For For For	Did Not Vote Did Not Vote Did Not Vote
	Slate Office REIT	SOT	None			
	Timbercreek Financial Corp.	TF	None			
06-19-2018	Westshore Terminals Investment Corp.	WTE	Election of Directors Appointment of Auditors	Management Management	For For	Did Not Vote Did Not Vote
01-25-2018	Air Products & Chemicals Inc.	APD	Elect board of Directors Conduct advisory vote on Executive Officer Compensation Ratify the appointment of independent auditors Approve material terms of the Long-Term Incentive Plan	Management Management Management Management	For For For For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
06-26-2018	Alcentra Capital Corp.	ABCD	Elect two directors of the Company to the board of directors Ratification of the appointment of KPMG as the Company's independent registered public accounting firm Approve amendment to Articles of Amendment and Restatement to allow stockholders to amend our bylaws	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
05-22-2018	Amgen Inc.	AMGN	Director nominee Advisory vote to approve executive compensation Ratification of selection of independent registered public accountant Stockholder proposal	Management Management Management Shareholder**	For For For Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
06-07-2018	Apollo Commercial Real Estate Finance Inc.	ARI	Election of Directors Ratification of appointment of independent auditors Approve compensation of directors Transaction of such other business as may properly come before the Annual Meeting or any postponements or adjournments thereof	Management Management Management Management/Shareholders**	For For For For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
02-13-2018	Apple Inc.	AAPL	Election of Directors Ratification of Appointment of Independent Registered Public Accounting Firm Advisory vote to approve executive compensation Approval of the Amended and Restated Apple Inc. Non-Employee Director Stock Plan Shareholder Proxy Access Amendments Human Rights Committee	Management Management Management Management Shareholder** Shareholder**	For For For For Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
05-14-2018	Ares Capital Corp.	ARCC	Election of Directors Ratification of the selection of independent auditors Consider and vote upon a proposal to authorize the Company to sell or otherwise issue shares of its common stock at a price below its then current net asset value per share subject to certain limitations set forth herein	Management Management Management/Shareholders**	For For For	Did Not Vote Did Not Vote Did Not Vote
04-27-2018	AT&T Inc.	T	Election of Directors Ratification of appointment of independent auditors Advisory approval of executive compensation Approve Stock Purchase and Deferral Plan Approve 2018 Incentive Plan Prepare lobbying report modify proxy access requirements Independent chair Reduce vote required for written consent	Management Management Management Management Management Shareholder** Shareholder** Shareholder** Shareholder**	For For For For For Against Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
11-7-2017	Automatic Data Processing Inc.	ADP	Elect board of directors Advisory vote on executive compensation Advisory vote on the frequency of the executive compensation advisory vote Ratify appointment of independent registered public accounting firm Proposal to repeal certain provisions or amendments to the amended and restated by-laws of the company adopted without stockholder approval after August 2, 2016 and up to and including the date of the 2017 Annual Meeting	Management Management Management Management Shareholder**	For For 1 Year For Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
04-25-2018	Bank of America Corp.	BAC	Election of Directors Proposal to approve executive compensation Ratify the appointment of independent public registered accountants Special stock holder proposal (independent board chairman)	Management Management Management Shareholder**	For For For Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Barrick Gold Corp.	ABX	None			
06-20-2018	Blackstone Mortgage Trust Inc.	BXMT	Election of directors Ratify the appointment of independent registered public accounting firm Advisory vote on compensation to executive officers Approve 2018 Stock Incentive Plan Approve 2018 Manager Incentive Plan	Management Management Management Management	For For For For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Bristol-Myers Squibb Co.	BMY	None			
12-11-2017	Cisco Systems Inc.	CSCO	Election of Board of Directors Approve the amendment and restatement of 2005 Stock Incentive Plan Approve the amendment and restatement of Executive Incentive Plan Vote on advisory resolution to approve executive compensation proposal on frequency of holding future votes regarding executive compensation Ratify the appointment of independent registered public accounting firm Proposal of disclosure direct/indirect lobbying activities	Management Management Management Management Management Management Shareholder**	For For For For 1 Year For Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
			Election of Directors Ratification of selected of independent registered public accounting firm	Management Management	For For	Did Not Vote Did Not Vote

04-28-2018	Citigroup Inc.	C	Advisory note to approve executive compensation Approval of additional authorized shares under the Citigroup 2014 stock incentive plan Proposal calls for the establishment of a Human and Indigenous Peoples' Rights Policy to ensure that safe-guarding such rights is considered whenever relevant to general corporate and commercial financing	Management Management	For For	Did Not Vote Did Not Vote
	CSX Corp.	CSX	None	Shareholder**	Against	Did Not Vote
05-07-2018	Eli Lilly & Co.	LLY	Election of Directors Approval of compensation paid to the company's executive officers Ratification of independent auditor Approve amendments to the Articles of Incorporation to eliminate classified board structure Approve amendments to the Articles of Incorporation to eliminate supermajority voting provisions Approve the Amended and Restated 2002 Lilly Stock Plan Proposal seeking support for the descheduling of cannabis Proposal requesting report regarding direct and indirect political contributions Proposal requesting report on policies and practices regarding contract animal laboratories Proposal requesting report on extent to which risks related to public concern over drug pricing strategies are integrated into incentive compensation	Management Management Management Management Management Shareholder** Shareholder** Shareholder**	For For For For For Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Energy Transfer Partners LP	ETP	None			
	Federal National Mortgage Association PFD 8.25%	FNMAS	None			
06-16-2018	General Motors Co.	GM	Election of Directors Approval of Named Executive Officer Compensation Ratification of selected independent registered accounting firm Proposal regarding independent board chairman Proposal regarding shareholder right to act by written consent Proposal regarding report on Greenhouse Gas Emissions and CAFE Standards	Management Management Management Shareholder** Shareholder** Shareholder**	For For For Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Goldman Sachs BDC Inc.	GSBD	None			
	Golub Capital BDC Inc.	GBDC	None			
10-27-2017	Harris Corp.	HRS	Election of Board of Directors Advisory vote to approve executive compensation vote on frequency of future advisory votes to approve executive compensation Ratification of appointment of independent registered public accounting firm	Management Management Management Management	For For For Annually For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Intel Corp.	INTC	None			
04-24-2018	International Business Machines Corp.	IBM	Election of Directors Ratification of Independent Accounting Firm Say on Pay Proposal Proposal on Lobbying Disclosure Proposal for 10% special meeting provision Proposal for independent board chairman	Management Management Management Shareholder** Shareholder** Shareholder**	For For For Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
05-15-2018	JPMorgan Chase & Co.	JPM	Election of Directors Ratification of special meeting provisions in the Firm's by-laws Advisory resolution to approve executive compensation Approval of amended and restated long-term incentive plan Ratification of independent registered public accounting firm Independent board chairman vesting for government service Proposal to report on investments tied to genocide Cumulative voting	Management Management Management Management Management Shareholder** Shareholder** Shareholder** Shareholder**	For For For For For Against Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
04-12-2018	KB Home	KBH	Election of Directors Advisory vote to approve executive officer compensation Amended and Restated Rights Agreement Ratifying appointment of independent registered public accounting firm	Management Management Management Management	For For For For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
05-09-2018	Kinder Morgan Inc.	KMI	Election of Directors Ratification of the selection of independent registered public accounting firm Approval of the compensation of named executive officers Frequency with which to hold advisory vote on executive compensation Proposal relating to report on methane emissions Proposal relating to annual sustainability report Proposal relating to an assessment of long-term portfolio impacts of scenarios consistent with global climate change policies	Management Management Management Management Shareholder** Shareholder** Shareholder**	For For For For Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
04-11-2018	Lennar Corp.	LEN	Election of Directors Ratify the appointment of independent registered public accounting firm Approve compensation of executive officers Proposal regarding common stock voting structure Proposal regarding providing holder an annual right to convert a limited amount of Class B common stock into Class A common stock Proposal regarding a limit on director tenure	Management Management Management Management Shareholder** Shareholder** Shareholder**	For For For For Against Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
05-16-2018	Macquarie Infrastructure Corp.	MIC	Election of Directors Ratification of the selection of independent auditors Approval on an advisory basis of executive compensation	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
04-30-2018	Main Street Capital Corp.	MAIN	Election of Directors Ratify appointment of independent registered public accountant Advisory vote on executive compensation Approve Amendment to the Articles of Amendment and Restatement to allow stockholders to amend bylaws	Management Management Management Management	For For For For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Microsoft Corp.	MSFT	None			
	Mohawk Industries Inc.	MHK	None			
05-10-2018	Norfolk Southern Corp.	NSC	Election of Directors Ratification of appointment of independent registered public accounting firm Approval of advisory resolution on executive compensation Proposal regarding right to act by written consent	Management Management Management Shareholder**	For For For Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	iShares Russell 2000 ETF \$135	IWM	None			
05-04-2018	Occidental Petroleum Corp.	OXY	Election of Directors Advisory vote to approve executive officer compensation Approval of the second amendment to the 2015 long-term incentive plan Ratification of selection of independent auditor	Management Management Management Management	For For For For	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
05-17-2018	Pioneer Natural Resources Co.	PXD	Elect Directors Ratify selection of independent registered public accountants Approve executive officer compensation	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
	SPDR S&P500 ETF Trust \$230	SPYX	None			
	Royal Dutch Shell PLC	RDS.B	None			

05-02-2018	Starwood Property Trust Inc.	STWD	Election of Directors Approve executive compensation Ratify the appointment of the audit committee	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
10-05-2017	Symantec Corp.	SYMC	Elect Board of Directors Ratification of the appointment of independent registered public accountants Amendments to our 2013 Euity Incentive Plan Advisory vote to approve executive compensation Advisory vote on the frequency of future advisory votes on executive compensation Proposal regarding executive pay confidential voting Proposal regarding executives to retain significant stock	Management Management Management Management Management Shareholder** Shareholder**	For For For For 1 Year Against Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote Did Not Vote
	Tahoe Resources Inc.	THO	None			
	TCP Capital Corp.	TCPK	None			
	The Goldman Sachs Group Inc.	GS	None			
05-10-2018	The Williams Cos Inc.	WMB	Election of Directors Ratify the appointment of independednt auditors Advisory vote to approve compensation	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
05-17-2018	TPG Specialty Lending Inc.	TSLX	Proposal to authroize the Company to sell or otherwise issue shares od its common stock at a price below its then-current net asset value per share in one or more offerings	Management	For	Did Not Vote
05-10-2018	Union Pacific Corp.	UNP	Elect Board of Directors Ratify the appointment of independent registered public accounting firm Approve compensation of the Company's Executive Officers Proposal of independent board Chairman	Management Management Management Shareholder**	For For For Against	Did Not Vote Did Not Vote Did Not Vote Did Not Vote
05-11-2018	Vulcan Materials Co.	VMC	Elect Board of Directors Approval of the compensation of executive officers Ratification of the appointment of independent registered public accoutning firm	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote
05-18-2018	Weyerhaeuser Co.	WY	Election of directors Approval of Executive compensation Ratification of selection of independednt registered public accoutning firm	Management Management Management	For For For	Did Not Vote Did Not Vote Did Not Vote